

11/20/01



CU490 U.S. PTO

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	N0389/7001 RJP
	First Named Inventor or Application Identifier	
	Stephen V. Burakoff et al.	
	Express Mail Label No.	EL 565145379 US
Date of Deposit		November 20, 2001

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09/989240

11/20/01

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	<b>ADDRESS TO:</b> <b>Box Patent Application</b> U.S. Patent & Trademark Office P.O. Box 2327 Arlington, VA 22202
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
<input checked="" type="checkbox"/> Statement filed in prior application, Status still proper and desired.	a. <input type="checkbox"/> Computer Readable Form (CRF)
3. <input checked="" type="checkbox"/> Specification [Total pages 31] 18 - pages description 1 - pages abstract 12 - pages claims 61 - Total claims	b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper (identical to computer copy)
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets 9] <input checked="" type="checkbox"/> Informal <input type="checkbox"/> Formal [Total drawings 8]	c. <input type="checkbox"/> Statement verifying identity of above copies
5. <input checked="" type="checkbox"/> Oath or Declaration [Total pages ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) [Note Box 6 below]	<b>ACCOMPANYING APPLICATION PARTS</b>
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	10. <input checked="" type="checkbox"/> Assignment Papers/cover sheet & documents(s) (COPY)
6. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76	12. <input type="checkbox"/> English Translation of Document (if applicable)
	13. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations
	14. <input type="checkbox"/> Preliminary Amendment
	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)
	18. <input type="checkbox"/> Other: _____ _____ _____

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. :09/023,039, filed on February 12, 1998, entitled **OBTAINING CONSENT FOR ELECTRONIC DELIVERY OF COMPLIANCE INFORMATION** and now Pending.

☐ Cancel in this application claims before calculating the filing fee.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

-- This application is a ☐ Continuation ☐ Continuation -in-part (CIP) of prior application No: 09/023,039 ,

**Prior application information:** Examiner **J. Field**

**Group Art Unit:** 2776

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



**23628**

## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Randy J. Pritzker, Reg. No. 35,986
SIGNATURE	<i>Randy J. Pritzker</i>
DATE	11-20-01

Inventor or Identifier: Stephen V. Burakoff et al.

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: METHOD AND SYSTEM FOR ELECTRONIC DELIVERY  
OF SENSITIVE INFORMATION

☒ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	61-20=	41 x	\$18	= \$ 738.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	14-3=	11 x	\$84	= \$ 924.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$ 2,402.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1,201.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 1,201.00

1. A check in the amount of \$1,201.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

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Attorneys of Record

Docket No. N0389/7001 RJP  
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